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1615

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Charles J. Matson, et al.
Serial No.: 09/806,086
Filed: June 22, 2001

Confirmation No. 3790
Examiner: Carlos A. Azpuru
Art Unit: 1615
Docket: 740.0030002

Title: MUCOSAL ORIGINATED DRUG DELIVERY SYSTEMS AND ANIMAL APPLICATIONS

Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- ☒ Return postcard (1).
- ☒ Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address (1 pg.).
- ☒ Statement Under 37 CFR 3.73(b) (1 pg.).
- ☒ Copy of the Assignment of the invention to *Entrega Labs, LLC* (6 pgs.).

PLEASE ASSOCIATE THIS APPLICATION WITH CUSTOMER NUMBER 38356

CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450, on this 12th day of June, 2007.

Alison L. Subendam

Name

AL

Signature

Respectfully Submitted,
Charles J. Matson, et al.

By: BROOKS & CAMERON, PLLC
1221 Nicollet Avenue, Suite 500
Minneapolis, MN 55403

Edward J. Brooks

Atty: Edward J. Brooks
Reg. No.: 40,925

6/12/2007

Date:



PTO/SB/82 (01-06)

Approved for use through 12/31/2008. OMB 0851-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/806,086
Filing Date	08/22/2001
First Named Inventor	Charles J. Matson
Art Unit	1615
Examiner Name	Carlos A. Azpuru
Attorney Docket Number	740.0030002

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

38356

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

38356

OR

☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

Todd Sobolka

Date

Telephone

(414) 331-8633

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Entrega Labs, LLC

Application No./Patent No./Control No.: 09/806 086 Filed/Issue Date: 06/22/2001

Entitled: MUCOSAL ORIGINATED DRUG DELIVERY SYSTEMS AND ANIMAL APPLICATIONS

Entrega Labs, LLC

(Name of Assignee)

a limited liability company

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

or And

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Charles J. Matson, et al. To: 3M Innovative Properties Company
The document was recorded in the United States Patent and Trademark Office at
Reel 011957, Frame 0504, or for which a copy thereof is attached.
2. From: 3M Innovative Properties Company To: Regents of the University of Minnesota
The document was recorded in the United States Patent and Trademark Office at
Reel 015248, Frame 0406, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Todd Sobotka
Signature

Todd Sobotka

Printed or Typed Name

Chief Financial Officer
Title

6/11/07
Date

(414) 331-8633

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT

Whereas, REGENTS OF THE UNIVERSITY OF MINNESOTA, (hereinafter "ASSIGNOR"), being a constitutional corporation under the laws of the state of Minnesota and having its principal place of business at 450 McNamara Alumni Center, 200 Oak Street SE, Minneapolis Minnesota, United States of America, 55455, has, by virtue of assignments from 3M Company and from 3M Innovative Properties Company (hereinafter collectively "3M") dated October 12, 2004, in accordance with a Donation Agreement entered into between ASSIGNOR and 3M, effective July 30, 2004 ("Donation Agreement"), an ownership interest in and to the United States patents and applications and their foreign counterparts listed in the attached Schedules A, B, C, and D.

AND whereas, ASSIGNOR and ENTREGA LABS, LLC, (hereinafter "ASSIGNEE"), being a limited liability company organized and existing under and by virtue of the laws of the state of Minnesota and having its principal place of business at 25745 Quail Ridge Trail, Lindstrom, Minnesota, United States of America, 55045, have, in consideration of and in accordance with the mutual covenants agreed upon by ASSIGNOR and ASSIGNEE, and for good and valuable consideration, receipt and sufficiency of which are acknowledged by ASSIGNOR and ASSIGNEE, entered into a Non-exclusive Patent License and Patent Assignment Agreement effective Dec 14, 2006 ("Agreement") wherein ASSIGNOR agrees to assign and transfer unto ASSIGNEE, its successors, and assigns its entire right, title, and interest in and to the patents and applications and their foreign counterparts listed in the attached Schedules A, B, C, and D.

AND whereas, ASSIGNEE, pursuant to the Agreement, promises not to assert any of the intellectual property assigned hereunder against the 3M Family (as that term is defined in the Donation Agreement), their successors, assigns, partners or customers, either direct or indirect, anywhere in the world, in accordance with the Donation Agreement, and ASSIGNEE further promises to include this same "non-assertion provision" in any subsequent assignment.

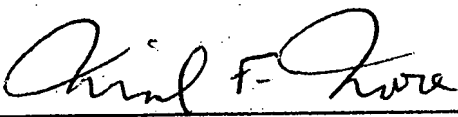
AND whereas, ASSIGNOR further represents to ASSIGNEE, its successors and assigns, that ASSIGNOR has not and shall not execute any writing or do any act whatsoever conflicting with the Assignment.

NOW THEREFORE, ASSIGNOR does hereby assign and transfer to ASSIGNEE, its successors, and assigns its entire right, title, and interest in and to the patents and applications and their foreign counterparts listed in Schedules A, B, C, and D, including all reissues, divisions, continuations, continuations in part, and extensions and foreign counterparts thereof, subject to terms and conditions of the Agreement. ASSIGNOR, its executors or administrators, will at any time upon request, without additional consideration, but at the expense of the ASSIGNEE, its successors and assigns, execute such additional writings and do such additional acts as the ASSIGNEE, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the United States patents and application and their foreign counterparts listed in the attached Schedules A, B, C, and D or similar legal protection on the invention in any and all countries.

[Signature Page Follows]

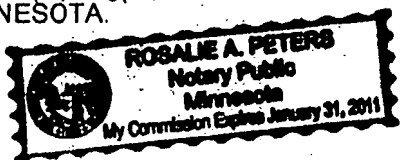
IN TESTIMONY WHEREOF, ASSIGNOR has executed this assignment by its duly authorized representative this 14 day of December, 2006.

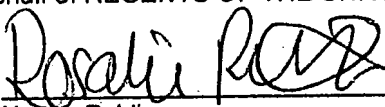
REGENTS OF THE UNIVERSITY OF MINNESOTA

By: 
Name: Michael F. Moore
Title: Director, Health Technologies
Patents and Technology Marketing

STATE OF Minnesota)
COUNTY OF Anoka) ss.

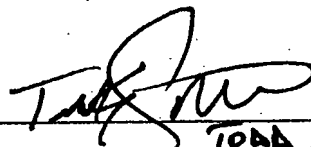
The foregoing instrument was acknowledged before me this 14 day of December, 2006, by Michael F. Moore, on behalf of REGENTS OF THE UNIVERSITY OF MINNESOTA.




Notary Public

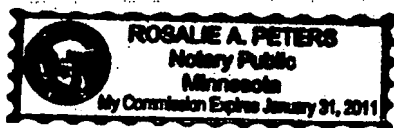
And, ASSIGNEE has accepted this assignment by its duly authorized representative this 14 day of December, 2006.

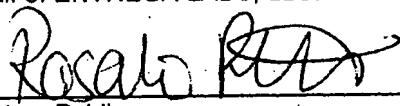
ENTREGA LABS, LLC

By: 
Name: Todd E. Sabotka
Title: VP Finance

STATE OF Minnesota)
COUNTY OF Anoka) ss.

The foregoing instrument was acknowledged before me this 14 day of December, 2006, by Todd Sabotka, on behalf of ENTREGA LABS, LLC.




Notary Public

SCHEDULE A

Country	Application No.	Filing Date	Status	Patent/Publication No.	Issue Date
United States	07/669,775	15 Mar 1991	<i>Issued</i>	5,113,860	19 May 1992
PCT	PCT/US92/00828	3 Feb 1992	<i>Complete</i>	WO 92/16836	
Europe	92908429.1	3 Feb 1992	<i>Issued</i>	0575505	17 Jan 1996
Japan	4-508128	3 Feb 1992	<i>Issued</i>	3190672	18 May 2001
UK	92908429.1	3 Feb 1992	<i>Issued</i>	0575505	17 Jan 1996
Germany	92908429.1	3 Feb 1992	<i>Issued</i>	69207756.1	17 Jan 1996
France	92908429.1	3 Feb 1992	<i>Issued</i>	0575505	17 Jan 1996
Italy	92908429.1	3 Feb 1992	<i>Issued</i>	0575505	17 Jan 1996
Spain	92908429.1	3 Feb 1992	<i>Issued</i>	0575505	17 Jan 1996

SCHEDULE B

Country	Application No.	Filing Date	Status	Patent/Publication	Issue Date
United States	08/259,873	15 Jun 1994	<i>Issued</i>	5,639,469	17 Jun 1997
United States	08/877,397	17 Jun 1997	<i>Issued</i>	5,908,637	1 Jun 1999
PCT	PCT/US95/07358	9 Jun 1995	<i>Completed</i>	WO 95/34286	
Japan	502353/96	9 Jun 1995	<i>Pending</i>		
Canada	2,190,778	9 Jun 1995	<i>Pending</i>		
Australia	27022/95	9 Jun 1995	<i>Issued</i>	694695	5 Nov 1998

SCHEDULE C

Country	Application	Filing Date	Status	Patent/Publication	Issue Date
United States	09/806,086	22 June 2001	Issued	7,001,609	21 Feb 2006
United States	60/102,956	2 Oct 1998	Complete		
PCT	US99/22967	1 Oct 1999	Complete	WO 00/19987	
Europe	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Canada	2,345,808	1 Oct 1999	Pending		
United Kingdom	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Germany	99954718.5	1 Oct 1999	Issued	69919554.3	18 Aug 2004
France	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Netherlands	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Austria	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Belgium	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Denmark	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Ireland	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Italy	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Portugal	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Greece	20040403982	1 Oct 1999	Issued	1117390	18 Aug 2004
Spain	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Sweden	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Australia	11001/00	1 Oct 1999	Issued	768040	18 Mar 2004
New Zealand	510771	1 Oct 1999	Issued	510771	08 Dec 2003
Finland	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004
Switzerland	99954718.5	1 Oct 1999	Issued	1117390	18 Aug 2004

SCHEDULE D

Country	Application No.	Filing Date	Status	Patent Number	Issue Date
United States	08/449,312	24 May 1995	Issued	5,688,520	18 Nov 1997